



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80674

Kyoaki EGAWA

Appln. No.: 10/809,364

Group Art Unit: 2652

Confirmation No.: 7561

Examiner: Not yet assigned

Filed: March 26, 2004

For: STORAGE MEDIUM TRANSPORTING APPARATUS WITH AN IMPROVED
TRANSMISSION MECHANISM FOR DRIVING A PICKER

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application Publication No. 2883013, published February 5, 1999.
2. Japanese Patent Application Publication No. 10-40616, published February 13, 1998.
3. Japanese Patent Application Publication No. 2-42671, published February 13, 1990.
4. Japanese Patent Application Publication No. 2-232854, published September 14, 1990.
5. Japanese Patent Application Publication No. 3-147564, published June 24, 1991.

INFORMATION DISCLOSURE STATEMENT

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Attorney Docket: Q80674

6. Japanese Patent Application Publication No. 7-334910, published December 22, 1995.
7. Japanese Patent Application Publication No. 9-128864, published May 16, 1997.
8. Japanese Patent Application Publication No. 10-124964, published May 15, 1998.
9. Japanese Patent Application Publication No. 11-162055, published June 18, 1999.
10. Japanese Patent Application Publication No. 11-259939, published September 24, 1999.
11. Japanese Patent Application Publication No. 11-328816, published November 30, 1999.
12. Japanese Patent Application Publication No. 2001-126353, published May 11, 2001.
13. Japanese Patent Application Publication No. 2002-25167, published January 25, 2002.
14. Japanese Patent Application Publication No. 2000-195137, published July 14, 2000.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated June 20, 2006, and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

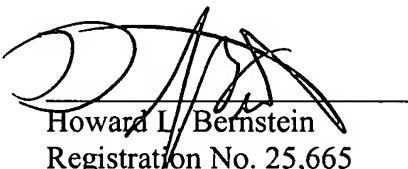
INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/809,364

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The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



Howard L. Bernstein
Registration No. 25,665

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/809,364
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First Named Inventor	Kiyoaki EGAWA
Art Unit	2652
Examiner Name	Not yet assigned
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of

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2883013	B2	02-05-1999		
		JP	10-40616	A	02-13-1998		
		JP	2-42671	A	02-13-1990		
		JP	2-232854	A	09-14-1990		
		JP	3-147564	A	06-24-1991		
		JP	7-334910	A	12-22-1995		
		JP	9-128864	A	05-16-1997		
		JP	10-124964	A	05-15-1998		
		JP	11-162055	A	06-18-1999		
		JP	11-259939	A	09-24-1999		
		JP	11-328816	A	11-30-1999		
		JP	2001-126353	A	05-11-2001		
		JP	2002-25167	A	01-25-2002		
		JP	2000-195137	A	07-14-2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.

Claim 1:

Comparing the invention according to Claim 1 as amended by the amendment of proceedings dated May 29, 2006 to the invention described in the belowmentioned Cited Example 1, the "pair of gripping tabs," "gripping means," "insertion and removal direction driving means," "guiding means," "tab opening and closing means," "retaining member," "gripping tool base," "pair of finger arms," and "cam part" of the invention according to Claim 1 correspond to the "cartridge gripping parts 174a and 176a," "accesssor hand mechanism," "motor 48," "slide shaft 112 and 114," "protrusions 182 and 184," "conveyance frame 50," "second slider 140," "fingers 174 and 176" and "finger opening and closing grooves 186 and 188" of the invention described in belowmentioned Cited Example 1.

Here, the invention according to Claim 1 and the invention described in belowmentioned Cited Example 1 differ in the point that in the invention according to Claim 1, "said gripping tabs are connected rotatably" to the "pair of finger arms," while in the invention described in belowmentioned Cited Example 1, "each finger 174, 176 has a cartridge gripping parts 174a, 176a at its end," and the "cartridge gripping parts 174a, 176a" are secured to the "fingers 174, 176."

However, the "hand arms 24, 24" of the invention described in belowmentioned Cited Example 2 have "rollers 31, 31" supported rotatably on the ends thereof, and no remarkable technical difficulty is found in using such "rollers 31, 31" instead of the "cartridge gripping parts 174a, 176a" of the invention described in belowmentioned Cited Example 1.

Therefore, the invention according to Claim 1 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2.

Claim 5:

As described in paragraph (0082) of belowmentioned Cited Example 1, in the invention described in belowmentioned Cited Example 1, the "fingers 174, 176 are constantly impelled so as to close by means of tension coil springs 146 and 148."

Therefore, the invention according to Claim 5 as amended by amendment of proceeding dated May 29, 2006 could have been easily invented by a person skilled in the art based on the invention described in belowmentioned Cited Examples 1 and 2.

Claim 6:

As described in belowmentioned Cited Example 1 (FIGURE 20), the "optical disk cartridge 30" used in the invention described in belowmentioned Cited Example 1 has a cutout in its side surface and is made so that the "cartridge gripping parts 174a, 176a" of "fingers 174, 176" engage with those cutouts.

Therefore, in the invention described in belowmentioned Cited Example 1, the distance between the "cartridge gripping parts 174a, 176a" when the "fingers 174, 176" are closed is set to be smaller than the width of the "optical disk cartridge 30." Furthermore, as described in belowmentioned Cited Example 1, paragraph (0082), "fingers 174, 176 are constantly impelled so as to close by means of tension coil springs 146 and 148."

Therefore, the invention according to Claim 6 as amended by amendment of proceeding dated May 29, 2006 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2.

Claim 7:

As described in belowmentioned Cited Example 1, paragraph (0071), in the invention described in belowmentioned Cited Example 1, "the pair of fingers 174, 176 are attached rotatably the second slider 140 by inserting shafts 178 and 180 into the hole." Furthermore, as indicated in (FIGURE 18) of belowmentioned Cited Example 1, the "finger opening and closing grooves 186, 188" comprise a "first portion 186a of groove 186" formed in the cartridge insertion and removal direction," and a "third portion 186c of groove 186" and "fourth portion 186d of groove 186" formed in the direction orthogonal to the insertion and removal direction of the cartridge.

Here, the question of what shape to make the "groove 186" of the invention described in belowmentioned Cited Example 1 is no more than a design matter which can be suitably determined as necessary by a person skilled in the art depending on how the "pair of fingers 174 and 176" are opened and closed. Thus, forming the "third portion

186c of groove 186" and "fourth portion 186d of groove 186" in an orthogonal direction is a matter which could be easily accomplished by a person skilled in the art.

Therefore, the invention according to Claim 7 as amended by amendment of proceeding dated May 29, 2006 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2.

Claim 8:

The opening and closing operation of the "pair of fingers 174, 176" of the invention described in belowmentioned Cited Example 1 is described in paragraphs (0083) through (0090) of the belowmentioned cited example, with the "first region" and "second region" of the invention according to Claim 8 corresponding to the "region where 'protrusion 182' engages 'first portion 186a of groove 186'" and "region wherein 'protrusion 182' engages 'third portion 186c of groove 186' and 'fourth portion 186d of groove 186'" of the invention described in belowmentioned Cited Example 1.

Therefore, the invention according to Claim 8 as amended by amendment of proceeding dated May 29, 2006 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Example 1.

Claim 9:

What relationship a protrusion and rotating shaft are placed in is no more than a design matter which could be determined as appropriate by a person skilled in the art.

Therefore, the invention according to Claim 9 as amended by amendment of proceeding dated May 29, 2006 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Example 1.

Claim 10:

The invention according to Claim 10 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2 for the same reasons as discussed in the section on Claim 1.

Claim 14:

The invention according to Claim 14 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2 for the same reasons as discussed in the section on Claim 5.

Claim 15:

The invention according to Claim 15 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2 for the same reasons as discussed in the section on Claim 6.

Claim 16:

The invention according to Claim 16 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2 for the same reasons as discussed in the section on Claim 7.

Claim 17:

The invention according to Claim 16 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2 for the same reasons as discussed in the section on Claim 8.

Claim 18:

The invention according to Claim 16 could have been easily invented by a person skilled in the art based on the inventions described in belowmentioned Cited Examples 1 and 2 for the same reasons as discussed in the section on Claim 9.

1. Japanese Patent No. 2883013
2. Japanese Unexamined Patent Application Publication H10-40616

Record of Prior Art Literature Search Results

• Fields searched	IPC	G11B 15/68 G11B 17/22-17/30 DB name
• Prior art literature		Japanese Unexamined Patent Application Publication H2-42671 Japanese Unexamined Patent Application Publication H2-232854 Japanese Unexamined Patent Application Publication H3-147564 Japanese Unexamined Patent Application Publication H7-334910 Japanese Unexamined Patent Application Publication H9-128864 Japanese Unexamined Patent Application Publication H10-124964 Japanese Unexamined Patent Application Publication H11-162055 Japanese Unexamined Patent Application Publication H11-259939 Japanese Unexamined Patent Application Publication H11-328816 Japanese Unexamined Patent Application Publication 2000-195137 Japanese Unexamined Patent Application Publication 2001-126353 Japanese Unexamined Patent Application Publication 2002-25167